

## AUTHORIZED 403(b) PROVIDER LIST for Southern Baptist Churches

Under the final Code section 403(b) regulations, a list of Investment Providers and Vendors must generally be maintained by the Employer. This list should be consistently maintained.

This list identifies: 1) the providers approved for on-going contributions ("Investment Provider"); and 2) the former Investment Providers and vendors approved only for contract exchanges ("Vendor"), if any, available under the 403(b) Retirement Plan ("Plan") maintained by the plan sponsor ("Church"), on or after the effective date of this list ("Effective Date"). Investment Providers and Vendors on this list will be subject to requirements and restrictions under the Plan provided however that such requirements and restrictions are not intended to enlarge the rights and benefits otherwise set forth in the product.

Types of providers:

- **Investment Provider** – A provider who is authorized under the Plan to receive contributions (has a "payroll slot" with the church's 403(b) plan).
- **Former Investment Provider** – A provider who was authorized to receive contributions after December 31, 2004, but is no longer authorized under the Plan to receive contributions (does not have a current "payroll slot" with the church's 403(b) plan).
- **Vendor** – A provider who is authorized under the Plan to receive a contract exchange, but is not authorized to receive contributions.

For more in depth instructions on filling out this form and for other useful information, visit our dedicated Web page, [www.GuideStone.org/planregs](http://www.GuideStone.org/planregs).

Church: \_\_\_\_\_ Effective Date: \_\_\_\_\_

### **CURRENT INVESTMENT PROVIDERS UNDER THE PLAN**

Select one of the two options below:

- GuideStone is the sole Investment Provider under the Plan.**

This means that no contributions will be made, were ever made, and/or funds ever transferred to a 403(b) Investment Provider or Vendor other than GuideStone for any participant in the Plan after December 31, 2004. In addition, no Contract Exchanges can be permitted by the Church.

- Investment Providers approved under the Plan.**

List below Investment Providers currently authorized to receive contributions under the Plan.

Name of Current Investment Providers	Contact Name	Phone Number

*(Use a separate page for additional listings if necessary).*

**Continued on other side**

**FORMER INVESTMENT PROVIDERS AND VENDORS APPROVED FOR CONTRACT EXCHANGES**

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List:

1. Investment Providers who were authorized to receive contributions under the Plan after December 31, 2004, but are no longer authorized to receive contributions; and
2. Vendors who are NOT authorized to receive new contributions, but may receive funds through a contract exchange under the Plan, pursuant to an information sharing agreement.

Name of Former Investment Providers or Contract Exchanges Vendors	Contact Name	Phone Number	Approved for Contract Exchange (Yes or No)

*(Use a separate page for additional listings if necessary).*

**EMPLOYER AUTHORIZATION**

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By signing below, the person signing hereby certifies that the foregoing list of Investment Providers and Vendors has been authorized by the Church as required by law and that the person signing below on behalf of the Church is authorized to execute such document and to take such action as deemed necessary or advisable to carry out the terms and provisions of the Plan.

Authorized Officer or Representative Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**Keep original for your Records**

**Do not return a copy to GuideStone**



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